

# 2019 Pathway Risk Assessment Grid

\*All medium to very high risks are to be recorded in JCAD Core as per the SCC Management of Risk Pathway documentation.

<b>LIKELIHOOD (A)</b>	<b>5</b> <b>Very Likely</b> >90% chance	5 Minimal risk. Acceptable; record & manage within service	10 Low Acceptable; record & manage within service	<b>15* High</b> Record in JCAD Review Quarterly	<b>20* Very High.</b> Record in JCAD Review Monthly	<b>25* Very High.</b> Record in JCAD Review Monthly
	<b>4</b> <b>Likely</b> 50 to 90% chance	4 Minimal risk. Acceptable; record & manage within service	8 Low Acceptable; record & manage within service	<b>12* Medium</b> Record in JCAD Review Quarterly	<b>16* High</b> Record in JCAD Review Monthly	<b>20* Very High.</b> Record in JCAD Review Monthly
	<b>3</b> <b>Possible</b> 25 to 50% chance	3 Minimal risk Acceptable; record & manage within service	6 Minimal risk Acceptable; record & manage within service	<b>9* Medium</b> Record in JCAD Review Quarterly	<b>12* Medium</b> Record in JCAD Review Quarterly	<b>15* High</b> Record in JCAD Review Quarterly
	<b>2</b> <b>Slight</b> 10 to 25% chance	2 Minimal risk Acceptable; Record & manage within service	4 Minimal risk Acceptable; record & manage within service	6 Low Acceptable; Record & manage within service	8 Low Acceptable; Record & manage within service	10 Low Acceptable; Record & manage within service
	<b>1</b> <b>Very unlikely</b> <10% chance	1 Minimal risk Acceptable; Record & manage within service	2 Minimal risk Acceptable; Record & manage within service	3 Low Acceptable; Record & manage within service	4 Low Acceptable; Record & manage within service	5 Low Acceptable; Record & manage within service
		<b>Insignificant</b> <b>1</b>	<b>Minor</b> <b>2</b>	<b>Significant</b> <b>3</b>	<b>Major</b> <b>4</b>	<b>Critical</b> <b>5</b>
<b>IMPACT (B)</b>						



<b>Likelihood of Occurrence (A)</b>	<b>Indicator</b>	<b>Description</b>
1 - Very unlikely	May occur only in exceptional circumstances	< 10% chance of occurrence
2 - Slight	Is unlikely to, but could, occur at some time	>10 to 25% chance of occurrence
3 - Possible	May or may not occur at some time, or in some circumstances. Has happened elsewhere	>25 to 50% chance of occurrence
4 - Likely	Likely to occur within the next 1-2 years or within the lifetime of the programme or project. Circumstances occasionally happen (few times a year). Has happened before.	>50 to 90% chance of occurrence
5 - Very Likely	Regular occurrence. Circumstances frequently encountered. Daily/weekly/monthly.	>90% chance of occurrence

<b>Factor</b>	<b>Scale</b>	<b>Impact on the effect on Service</b>	<b>Impact on Environmental /Social</b>	<b>Impact on Embarrassment/ Reputation</b>	<b>Impact on Personal Safety &amp; Health (H&amp;S)</b>	<b>Impact on Personal Privacy Infringement</b>	<b>Impact on Failure to provide statutory duties/legal obligations</b>	<b>Impact on Financial</b>	<b>Impact on Project Objectives/ Schedule Deadlines</b>
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CRITICAL	5	<b>Loss of life</b>							
		Complete failure to deliver a strategic priority or opportunity	Extensive detrimental long term impacts on the environment and community <ul style="list-style-type: none"> <li>▪ catastrophic and / or extensive discharge of persistent hazardous pollution</li> </ul>	Adverse/persistent national media coverage (inc. electronic media & Social media) <ul style="list-style-type: none"> <li>▪ Key Officer/s and/or Member/s forced to resign</li> <li>▪ Adverse central government response, involving (threat of) removal of delegated powers</li> </ul>	Loss of life	Over 1,000 individual's personal / sensitive data compromised / revealed. (Report to ICO)	Litigation/ claims/fines from: Departmental £500k + Corporate £1m +	<b>Over £50k. Director sign-off required</b> <ul style="list-style-type: none"> <li>• More than 20% of budget –in conjunction with other factors –</li> <li>• Programme/ project costs over run in excess of 20% of budget.</li> <li>• Less than 60% of programme benefits realised</li> </ul>	Complete failure of programme, project or both.  Programme outcomes or project products not delivered at all or quality seriously compromised
MAJOR	4	Major impact, positive or negative, on a strategic priority	Long term detrimental environmental or social impact e.g. chronic and/or significant discharge of pollution	Adverse major publicity in professional/ municipal press or electronic media, affecting standing in community	Major injury to an individual or several people	100 – 1,000 individual's personal / sensitive data compromised / revealed. (Report to ICO)	Litigation/ claims/fines from: Departmental £250k + Corporate £500k +	<b>Over £50k. Director sign-off required</b> <ul style="list-style-type: none"> <li>• More than 15% of budget – in conjunction with other factors</li> <li>• Programme /project cost overrun in excess of 15% of budget</li> <li>• Only 70% of programme benefits will be realised</li> </ul>	Extreme project delay (3+ months)  Programme or project timeline extended by 30% in addition to agreed tolerance  Scope seriously impacted – i.e, reduced/increased by 50%

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<b>SIGNIFICANT</b>	<b>3</b>	Significant impact, positive or negative, on a strategic priority	Serious local discharge of pollutant or source of community within general neighbourhood that requires remedial action	Adverse local/regional publicity of a significant or persistent nature	Severe injury to an individual or several people	10 – 100 individual's personal / sensitive data compromised/ revealed. (Report to ICO)	Litigation / claims / fines from: Departmental £50k to £125k Corporate £100k to £250k	<b>Over £50k. Director sign-off required</b> <ul style="list-style-type: none"> <li>• 10% of budget – in conjunction with other factors</li> <li>• Programme/ project cost overrun in excess of 15% of budget</li> <li>• Only 80% of programme benefits will be realised</li> </ul>	Significant impact on project or most of expected benefits fail / major delay 2-3 months.  Programme or project timeline extended by 20% in addition to agreed tolerance  Re-adjustment of scope is major

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<b>MINOR</b>	2	Minor impact, positive or negative, on a strategic priority	Short term, local detrimental affect on the environment or social impact e.g. significant discharge of pollutants within local neighbourhood	Adverse local publicity/ local public opinion aware Statutory prosecution of a non-serious nature	Minor injury to an individual or several people	2 – 10 individual's personal / sensitive data is compromised/ revealed	Litigation/ claims/fines from: Departmental £25k to £50k Corporate £50k to £100k	<b>£10-£50K. Strategic Manager Sign-off required</b> <ul style="list-style-type: none"> <li>• Programme/ Project cost overrun in excess of 10% of budget</li> <li>• Only 90% of programme benefits will be realised</li> </ul>	Adverse effect on project/ significant slippage 3 weeks – 2 months  Programme or project timeline extended by 10% in addition to agreed tolerance  Impact on scope can be managed
<b>INSIGNIFICANT</b>	1	Insignificant impact, positive or negative, on a strategic priority	Lasting detrimental effect on the environment i.e. noise, fumes, odour, dust emissions etc, of short-term duration.	Contained within section/unit or Directorate Complaint from individual/small group of arguable merit	Discomfort to an individual or several people	An Isolated individual's personal / sensitive data is compromised/ revealed	Litigation/ claims/fines from: Departmental £12k to £25k Corporate £25k to £50k	<b>£10-£50k. Strategic Manager Sign-off required</b> <ul style="list-style-type: none"> <li>• Minimal impact on programme/ project costs or benefits</li> </ul>	Minimal impact to project/ slight delay less than 2 weeks  Minimal effect of the programme or project timeline within agreed tolerance